

PATENT 1645

Case Docket No. GENENT.083A
Date: August 16, 2002

p#6

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Pennica et al.

Appl. No. : 09/901,812

Filed : July 10, 2001

For : METHODS FOR ENHANCING
THE EFFICACY OF CANCER
THERAPY

Examiner : Unknown

Group Art Unit : 1645

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Ginger R. Dreger, Reg. No. 33,055

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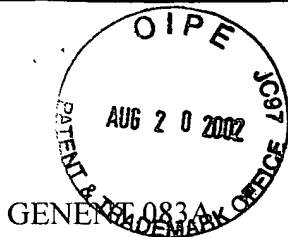
ATTENTION: APPLICATION BRANCH

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) A Supplemental Information Disclosure Statement.
- (X) A PTO Form 1449 with seven (7) references which are enclosed.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
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Ginger R. Dreger
Registration No. 33,055
Attorney of Record



PATENT

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

United States Patent and Trademark Office
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Dear Sir:

Enclosed is form PTO-1449 listing 7 references that are also enclosed. This Information Disclosure Statement is being filed before the mailing date of a final action under 37 C.F.R. § 1.113 and before the mailing date of a Notice of Allowance under § 1.311. A certification under 37 C.F.R. § 1.97(e) is set forth below. Thus, no fee is required as set forth below in 37 C.F.R. § 1.97(c).

CERTIFICATION UNDER 37 C.F.R. § 1.97(e)(1)

I hereby certify that each item of information contained in this Statement was first cited in a communication from a foreign Patent Office in a counterpart foreign application not more than

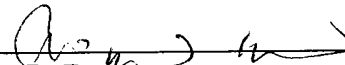
Appl. No. : 09/901,812
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three months prior to the filing of this Information Disclosure Statement.

Respectfully submitted,

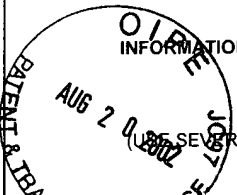
KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: August 16, 2001

By: 

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FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. GENENT.83A	APPLICATION NO. 09/901,812
 <p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p>USE SEVERAL SHEETS IF NECESSARY</p>		APPLICANT Pennica et al	
		FILING DATE July 10, 2001	GROUP 1645

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	1.	4,997,852	03.05.1991	Minton et al.	514	559	11/13/89

FOREIGN PATENT DOCUMENTS									
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION		
							YES	NO	
	2.	WO 95/32221	11/30/95	PCT					
	3.	WO 01/51635 A2	07/19/01	PCT					

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)	
	4.	Nagpal and Chandraratna, "Retinoids as Anti-Cancer Agents", <u>Current Pharmaceutical Design</u> , Bentham Science Publishers, 2:295-316 (1996)
	5.	St-Arnaud et al., "The <i>int-1</i> proto-oncogene is transcriptionally activated during neuroectodermal differentiation of P19 mouse embryonal carcinoma cells", <u>Oncogene</u> 4(9):1077-1080 (1989)
	6.	Stearns et al., "Liazarole and 13-cis-Retinoid Acid Anti-Prostatic Tumor Activity", <u>Cancer Research</u> 53(13):3072-3077 (July 1993)
	7.	Tice et al., "Synergistic Induction of Tumor Antigens by Wnt-1 Signaling and Retinoid Acid Revealed by Gene Expression Profiling", <u>The Journal of Biological Chemistry</u> , US 277(16):14329-14335 (April 2002)

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EXAMINER	DATE CONSIDERED
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